# Recognizing (Unit) Interval Graphs by Zigzag Graph Searches

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# Interval graphs



A graph G is an interval graph if there is a set  $\mathcal{I}$  of intervals on the real line and a bijection  $\phi: V(G) \to \mathcal{I}$  such that  $uv \in E(G) \iff \phi(u) \cap \phi(v) \neq \emptyset$ .

# Is this an interval graph?



#### The answer: monarchy rulers of China, France, and UK, 1661–1900





#### A closer look at interval models



- Each interval representation defines a unique interval graph.
- But an interval graph can have many interval representations.

#### I(v) = [lp(v), rp(v)]

- What're important aren't the absolute positions of the 2n endpoints.
- but the ordering, not unique but finite.







For each endpoint, draw a vertical line. Intervals crossing each line induce a clique.

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Clique path (a special kind of clique tree): each node for a maximal clique and cliques containing v induce a sub-path.

From a clique path we can "read"  $lp(v) = min\{i \mid v \in K_i\}$  $rp(v) = max\{i \mid v \in K_i\}$ 

# Characterization of interval graphs: Interval ordering



Sort the intervals by their left endpoints. breaking ties arbitrarily.

i < j < k and  $v_i \sim v_k$  then  $v_i \sim v_j$ .

### Characterization of interval graphs: Interval ordering



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$$i < j < k$$
 and  $v_i \sim v_k$  then  $v_i \sim v_j$ 

From an ordering we can read:  $I(v_i) = [\sigma(v_i), \sigma(v_{r(i)})]$ 

# Characterizations of interval graphs (summary)



The following are equivalent:

- G is an interval graph.
- $\bigcirc$  G has an interval ordering.
- $\bigcirc$  G has a clique path.

#### Recursive breadth-first search (BFS)



The output of a BFS is a BFS tree in which the order of siblings is immaterial.

 $3, \ldots, 8$  can be visited in any order.

Since we aim for an ordering. We may recursively conduct BFS.  $1, 2, [3, \ldots, 8]$ 1, 2, 3, 4, [5, 6], 7, 81, 2, 3, 4, 5, 6, 7, 8

# Lexicographical breadth-first search (LBFS)

#### $L_1, L_2 \subseteq \{1, 2, \dots, n\}$ , and $L_1 \neq L_2$ .

 $\begin{array}{ll} L_1 \text{ is lexicographically larger than } L_2 \text{ if the minimum element in } (L_1 \setminus L_2) \cup (L_2 \setminus L_1) \\ \text{belongs to } L_1. & \text{e.g., } \{1,2\} > \{1,3,4\} > \{1\}. \end{array}$ 

- 1. for each  $v \in V(G)$  do  $label(v) \leftarrow \emptyset$ ;
- 2. for  $i = 1, \ldots, n$  do
- 2.1.  $S \leftarrow$  unvisited vertices with the lexicographically largest label;
- 2.2.  $v \leftarrow \text{any vertex in } S;$
- 2.3.  $\sigma(v) \leftarrow i;$
- 2.4. for each unvisited neighbor of v do add i to label(v);

3. return  $\sigma$ .

Fact: LBFS is the recursive BFS.

Theorem (rose-76-vertex-elimination rose-76-vertex-elimination). On a chordal ({ $C_{\ell} \mid \ell \geq 4$ }-free) graph, the last vertex of an LBFS is simplicial.

#### Interval orderings and LBFS orderings

Proposition. Any interval ordering  $\sigma$  of an interval graph G is an LBFS ordering of G. Proof. We may assume without loss of generality that  $\sigma(v_i) = i$  for all i = 1, ..., n. For any i, p, and q with  $i , if <math>v_i v_q \in E(G)$ , then  $v_i v_p \in E(G)$  as well. Thus,

 $\{v_1, \dots, v_{p-1}\} \cap N(v_q) \subseteq \{v_1, \dots, v_{p-1}\} \cap N(v_p),\$ 

and after visiting  $\{v_1, \ldots, v_{p-1}\}$ , the label of  $v_p$  is no smaller than that of  $v_q$ .

- The other direction is not true: most LBFS orderings are not interval orderings.

# Theorem (corneil-10-end-vertices-lbfs corneil-10-end-vertices-lbfs). If G is an interval graph, then an interval ordering of G can be produced by less than n sweeps of LBFS<sup>+</sup> (a variant of LBFS).

### Maximal cliques of a chordal graph



These representations are not unique The clique tree implies a representation. Two subtrees intersect if they have one point in common.

# Recognition algorithms for interval graphs

Build a clique tree and check whether it can be transformed into a clique path:

- booth-76-pq-tree booth-76-pq-tree PQ-trees.
- korte-89-recognizing-interval-graphs korte-89-recognizing-interval-graphs LBFS and Modified PQ-trees.
- Hsu and McConnell hsu-03-pc-trees
- Hsu and Ma hsu-99-recognizing-interval-graphs
- modular decomposition
- habib-00-LBFS-and-partition-refinement
   habib-00-LBFS-and-partition-refinement
   LBFS and partition refinement.

Construct an interval ordering:

- simon-91-interval simon-91-interval multiple sweeps LBFS
   corneil-09-lbfs-strucuture-and-interval-recognition 6 sweeps of LBFS
- li-14-lbfs-interval-recognition li-14-lbfs-interval-recognition 4 sweeps of LBFS

# Appetizer: Unit Interval Graphs

# Unit/proper interval graphs



unit: All the intervals have the same length. proper: No interval properly contains another.



Umbrella (proper interval) ordering:  $v_i \sim v_k \Rightarrow v_i \sim v_j$  and  $v_j \sim v_k$ 

• Sorting vertices in the order of their left endpoints in a proper representations.

• The reversal of an umbrella ordering is also an umbrella ordering.



 $v_{r(i)}$ : last neighbor of  $v_i$  in  $\sigma$ 



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 $\begin{array}{l} n \ \mathsf{cliques:} \ K_i = \{v_i, \ldots, v_{r(i)}\} \\ \{v_1, v_2\}; \ \{v_2, v_3, v_4\}; \ \{v_3, v_4\}; \ \{v_4, v_5\}; \ \{v_5\} \end{array}$ 



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 $n \text{ cliques: } K_i = \{v_i, \dots, v_{r(i)}\} \\ \{v_1, v_2\}; \{v_2, v_3, v_4\}; \{v_3, v_4\}; \{v_4, v_5\}; \{v_5\} \\ \end{cases}$ 



 $v_{r(i)}$ : last neighbor of  $v_i$  in  $\sigma$ 

*n* cliques: 
$$K_i = \{v_i, \dots, v_{r(i)}\}$$
  
 $\{v_1, v_2\}; \{v_2, v_3, v_4\}; \underline{\{v_3, v_4\}}; \{v_4, v_5\}; \underline{\{v_5\}}$ 

$$I(v_i) = \left[\sigma(v_i), \sigma(v_{r(i)}) + \frac{\sigma(v_i)}{n}\right]$$

$$v_1 \underbrace{v_2}_{1} \underbrace{v_3}_{2} \underbrace{v_4}_{4} \underbrace{v_5}_{5} \underbrace{v_5}_{5} \underbrace{v_6}_{6}$$

$$I(v_1) = \left[\sigma(v_1), \sigma(v_2) + \frac{\sigma(v_1)}{5}\right] = [1, 2.2];$$
  

$$I(v_2) = \left[\sigma(v_2), \sigma(v_4) + \frac{\sigma(v_2)}{5}\right] = [2, 4.4];$$
  

$$I(v_3) = [3, 4.6]; \quad I(v_4) = [4, 5.8]; \quad I(v_5) = [5, 6];$$

# Uniqueness of clique paths

Proposition. A connected proper interval graph has a unique clique path.

Proof 1: Suppose that there are two clique paths. We can find a minimal sub-path of the first that do not appear in the same order in the second.



2. Follows from Hsu's theorem if all its modules are cliques. Trivial if  $K_r \vee (K_p + K_q)$ . Otherwise, removing universal vertices leaves another connected proper interval graph. 3. Let  $K_1, \ldots, K_\ell$  be a clique path. A simplicial vertex in  $K_i, 1 < i < \ell$  is the nose of a bull. So the ends of any clique path of G must be  $K_1$  and  $K_\ell$ . Then  $K_2$  and  $K_{\ell-1}$ .

#### Theorem (Deng, Hell, Huang 1996).

If a unit interval graph contains no true twins, then it has a unique ordering up to ...

We look for an umbrella ordering, so should start from "an end" of the graph.



From  $v_1$  may give  $\langle v_1, v_2, v_4, v_3, v_5 \rangle$ or  $\langle v_1, v_2, v_3, v_4, v_5 \rangle$ It cannot distinguish  $v_3$  and  $v_4$ .

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From  $v_5$  may give  $\langle v_5, v_4, v_3, v_2, v_1 \rangle$ or  $\langle v_5, v_4, v_2, v_3, v_1 \rangle$ It cannot distinguish  $v_2$  and  $v_3$ .

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Together they can:  $v_2$  before  $v_3$ ;  $v_4$  after  $v_3$ .



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#### Not done yet

- Where are "the ends"?
- 2 How to combine the two orderings?
- O Whether the output is correct?

Together they can:  $v_2$  before  $v_3$ ;  $v_4$  after  $v_3$ .



### The ends



A *terminal*: a simplicial vertex in the first clique of a clique path. More restrictive than end interval (Gimbel **gimbel-88-end-vertices**)

Proposition. The last vertex of an LBFS ordering is a terminal of G. Corollary. An LBFS starting from a terminal ends with another terminal.

## $\mathrm{LBFS}^+$



Input: A graph G, and an LBFS ordering  $\sigma$  of G.

- 1. for each  $v \in V(G)$  do  $label(v) \leftarrow \emptyset$ ;
- 2. for i = 1, ..., n do
- 2.1.  $S \leftarrow$  unvisited vertices with the lexicographically largest label;
- 2.2.  $v \leftarrow \text{the last vertex of } \sigma|_S;$
- 2.3.  $\sigma^+(v) \leftarrow i;$
- 2.4. for each unvisited neighbor of v do add i to label(v);
- 3. return  $\sigma^+$ .

- 1.  $\tau \leftarrow$  an LBFS ordering of *G*;
- 2.  $\sigma \leftarrow \text{LBFS}^+(G, \tau);$
- 3.  $\sigma^+ \leftarrow \text{LBFS}^+(G, \sigma);$
- 4. **if**  $\sigma^+$  is an umbrella ordering of *G* **then return** "yes";
- 5. else return "no."

Theorem (Corneil 2004).

If G is a proper interval graph, then three sweeps of LBFS produce an umbrella ordering.

#### Whether the output is correct?



Final output  $\sigma^+$ :

 $v_1, v_2, v_3, v_4, v_5$ 

Order N(v) in the reversed order of  $\sigma^+$   $v_1 : v_2$   $v_2 : v_4 \rightarrow v_3 \rightarrow v_1$   $v_3 : v_4 \rightarrow v_2$   $v_4 : v_5 \rightarrow v_3 \rightarrow v_2$  $v_5 : v_4$ 

check whether the list for  $v_i$  starts from  $r(i), r(i) - 1, \ldots, i+1$ 

Do the same operations for the reversal of  $\sigma^+$  return "yes" if both tests are passed.

# A two-sweep algorithm

Input: A connected graph G and a vertex  $s \in V(G)$ .

- 1. for each  $v \in V(G)$  do  $label(v) \leftarrow \emptyset$ ;
- 2.  $\sigma(s) \leftarrow 1;$
- 3. for i = 2, ..., n do
- 3.1.  $S \leftarrow$  unvisited vertices with the lexicographically largest label;
- 3.2.  $v \leftarrow$  a vertex with the minimum degree in *S*;
- 3.3.  $\sigma(v) \leftarrow i;$
- 3.4. **for each** unvisited neighbor of v **do** add i to label(v);
- 4. return  $\sigma$ .
- 1.  $u \leftarrow \text{an end vertex of } G;$
- 2.  $\sigma \leftarrow \text{LBFS}^{\delta}(G, u);$
- 4. **if**  $\sigma$  is an umbrella ordering of *G* **then return** "yes";
- 5. else return "no."

# Recognition of Interval Graphs

# Why interval graphs are difficult?



- for  $1 \le i < j < k \le n$ ,  $v_i \sim v_k$  implies only  $v_i \sim v_j$ .
- the reversal of an interval ordering may not be an interval ordering.  $1, 2, \ldots, 17$  is an interval ordering, but  $17, 16, \ldots, 1$  is not.
- clique paths may not be unique.
- An LBFS does not necessarily go from left to right, it can jump arbitrarily (zigzag). e.g., 1, 2, 16, 11, ...

# An oversimplistic summary

Breaking fake twins (unit interval graphs)<sup>1</sup> and fake modules (interval graphs).

 $<sup>^1{\</sup>rm If}$  an unit interval graph contains a non-clique module, it's more or less trivial.

#### Modules



M is a module if for all  $u, v \in M$  and  $x \notin M$ ,  $xu \in E(G)$  if and only if  $xv \in E(G)$ . vertices in M have the same neighborhood outside M.

• trivial modules: V(G),  $\emptyset$ , and  $\{v\}$ .

the graph has 13 trivial modules.

• Other simple examples: components and

twin classes (clique modules, independent set modules).

### Interval graphs and modules

Let M be a non-clique module of G, and  $G' = G - (M \setminus \{v\})$  for some vertex  $v \in M$ .

Proposition. G is an interval graph if and only if (1) N(M) is a clique; and (2) both G' and G[M] are interval graphs.





(c) interval representations for G' and G[M].

#### Why it worked and why it doesn't work

For the recognition of proper interval graphs,

- it is neither necessary nor possible to distinguish true twins;
- what we need to do is to distinguish "fake" twins; and
- an LBFS from  $v_1$  cannot distinguish  $\{v_3, v_4\}$ .





In both graphs, an LBFS from  $v_1$  cannot distinguish  $\{v_3, v_4, \ldots, v_8\}$ , a module  $(N(M) = N(x) \setminus M$  for all  $x \in M$ ) in the first, but not the second.

#### Inspiration



 $\sigma_1: 1, 2, 20, 8, 4, [19, 18, 17, 9, 12, 16, 15, 13, 11, 14, 10, 7, 6], 5, 3, 21, 22$   $\sigma_1^+:$ 

 $\sigma_2: 1, 2, 3, 4, 8, [20, [15, 16, 12, 9, 13, 11, 14, 17, 10, 18, 7, 19, 6]], 5, 21, 22$   $\sigma_2^+:$ 

 $\sigma_3: 1, 2, [4, 20, 8, [6, 7, 9, 18, 17, 12, [11, 13, 15, 14, 16], 10, 19], 5, 3], 21, 22$   $\sigma_3^+:$ 

#### Inspiration



 $\sigma_1: 1, 2, 20, 8, 4, [19, 18, 17, 9, 12, 16, 15, 13, 11, 14, 10, 7, 6], 5, 3, 21, 22$  $\sigma_1^+: 22, 4, 21, 20, 8, 2, [6, 7, 9, 10, 11, 13, 12, 14, 15, 16, 17, 18, 19], 5, 3, 1$ 

 $\begin{aligned} \sigma_2: 1, 2, 3, 4, 8, [20, [15, 16, 12, 9, 13, 11, 14, 17, 10, 18, 7, 19, 6]], 5, 21, 22 \\ \sigma_2^+: 22, 4, 21, 20, 8, 2, [6, 7, 9, 18, 17, 12, 14, 13, 11, 15, 16, 10, 19], 5, 3, 1 \end{aligned}$ 

 $\begin{aligned} \sigma_3: 1, 2, [4, 20, 8, [6, 7, 9, 18, 17, 12, [11, 13, 15, 14, 16], 10, 19], 5, 3], 21, 22 \\ \sigma_3^+: 22, 4, 21, 20, 8, 2, [19, 18, 17, 9, 12, [16, 15, 13, 14, 11], 10, 7, 6], 5, 3, 1 \end{aligned}$ 

#### Snapshot: one-sided module

- Snapshot: the set S in step 2.1 in LBFS<sup>+</sup>.
- There are n snapshots, the one in the iteration visiting  $v_i$  is denoted by  $S_{\sigma}(v_i)$ .
- $S_{\sigma}(v_1) = V(G)$  and  $S_{\sigma}(v_n) = \{v_n\}.$
- All vertices in  $S_{\sigma}(v_i)$  have the label in this iteration, i.e.,  $\{v_1, \ldots, v_{i-1}\} \cap N(v_i)$ .



nontrivial snapshots of  $1, \ldots, 8$ . 1: 1, 2, 3, 4, 5, 6, 7, 8. 3: 3, 4, 5, 6, 7, 85: 5, 6

in summary, 1, 2, [3, 4, [5, 6], 7, 8].

#### Well-anchored orderings



An LBFS ordering  $\sigma$  is *well-anchored* if for each snapshot S,  $\sigma|_S$  starts from

- an exposed vertex (has a neighbor after S); or
- an end vertex of G[S] if no exposed vertex.

For the snapshot  $S = \{6, 7, 9, 10, \dots, 19\}$  in  $\sigma_3$ , 6 is an exposed vertex

# Characterizations of interval graphs (recalled)



# Interval ordering $\rightarrow$ clique path



path to ordering:  $K_1, K_2 \setminus K_1, \dots, K_\ell \setminus K_{\ell-1}$ 

1, 2, 3, 4, 5, 6, 7, 8

### Interval ordering $\rightarrow$ clique path



 $\begin{pmatrix} 2\\ 8 \end{pmatrix}$ 

# Interval orderings $\longleftrightarrow$ clique paths

An ordering  $\sigma$  is *consistent with* a clique path  $K_1, \ldots, K_\ell$  if  $\sigma$  can be represented as

 $\langle K_1, K_2 \setminus K_1, \ldots, K_\ell \setminus K_{\ell-1} \rangle,$ 

where vertices in each set are in any order.



#### Clique paths and modules

Lemma (C 2021). Let  $K_1, K_2, \ldots, K_\ell$  and  $K_{b(1)}, K_{b(2)}, \ldots, K_{b(\ell)}$  be two different clique paths of G. If there are p and q,  $1 \le p < q < \ell$  such that b(p) = 1 and  $b(q) = \ell$ ,

then  $\bigcup_{i=b(\ell)} K_j \cup \bigcup_{i=q} K_{b(i)} \setminus (K_{b(\ell)} \cap K_\ell)$  is a nontrivial non-clique module of G.



Proof. Let  $J = \{b(\ell), \ldots, \ell\} \cup \{b(q), \ldots, b(\ell)\}$  and  $U = \bigcup_{j \in J} K_j \setminus (K_{b(\ell)} \cap K_\ell)$ . We show  $N(v) \setminus U = K_{b(\ell)} \cap K_\ell$  for every  $v \in U$ . By definition,  $K_{b(\ell)} \cap K_\ell \subseteq N(v)$ . Suppose for contradiction,  $N(v) \setminus U \not\subseteq K_{b(\ell)} \cap K_\ell$ , there exists  $j \notin J$  such that  $v \in K_j$ , then  $j < b(\ell)$  and there is k < q such that b(k) = j. Then v is at both sides of  $K_{b(\ell)}$ , and hence  $v \in K_{b(\ell)}$ ; for the same reason,  $v \in K_\ell$ . But then v is in  $K_{b(\ell)} \cap K_\ell$ , and should not be in U, a contradiction.

Corollary. If an interval graph has only clique modules, then it has a unique clique path. Theorem (Hsu 1995). A prime interval graph has a unique clique path.

# The main lemma

#### Proposition.

Let  $\pi$  be a well-anchored ordering of an interval graph G, and  $\pi^+ = \text{LBFS}^+(G, \pi)$ . For any module M,  $\pi|_M$  is a well-anchored ordering of G[M];  $\pi^+|_M = \text{LBFS}^+(G, \pi|_M)$ .

Lemma (C 2021). Let  $\pi$  be a well-anchored ordering of an interval graph G, then  $\pi^+$  is consistent with some clique path of G.

Proof sketch. Assume that G is connected and has no universal vertices.

A major module is a maximal non-clique module M with no universal vertex. Major modules are pairwise disjoint.

Fix a clique path  $\mathcal{K}$  of G, where for each major module M, the subpath of  $\mathcal{K}$  for M is consistent with  $\pi^+|_M$ .

Suppose for contradiction that  $\pi^+$  is not consistent with  $\mathcal{K}$ .

There are  $v_p, v_q$  s.t.  $v_p <_{\sigma} v_q$  but  $v_p >_{\mathcal{K}} v_q$ . They aren't in the same major module. We can find a module containing  $v_p$  and  $v_q$ . But this is impossible.

# Generating a well-anchored ordering

Input: A graph G, and an LBFS<sup>+</sup> ordering  $\tau^+$  of G. Output: A well-anchored ordering  $\pi$  of G if G is an interval graph.

- 1. renumber the vertices such that  $au^+(v_i)=i$  for all  $i=1,\ldots,n;$
- 2. for  $i = 1, \ldots, n$  do
- 2.1.  $S \leftarrow$  unvisited vertices with the lexicographically largest label;

2.2. 
$$v_p \leftarrow$$
 the first vertex of  $\tau^+|_S$ ;

2.3. 
$$v_q \leftarrow$$
 the last vertex of  $\tau^+|_S$ ;

- 2.4. if there exists  $\ell < p$  such that  $v_{\ell} \in N(v_p)$  and  $\pi(v_{\ell})$  is unset then  $\pi(v_p) \leftarrow i$ ;
- 2.5. else if there exist  $v_{\ell} \in S$  and  $v_r \in N(v_{\ell})$  such that r > q then  $\pi(v_{\ell}) \leftarrow i$ ; (The main trick.)
- 2.6. **else**  $\pi(v_q) \leftarrow i$ ;
- 2.7. for each unvisited neighbor of v do add i to label(v);
- 3. return  $\pi$ .

Input: A connected graph G. Output: Whether G is an interval graph.

1. 
$$\tau \leftarrow$$
 an LBFS ordering of  $G$ ;

2. 
$$\tau^+ \leftarrow \text{LBFS}^+(G, \tau);$$

3. 
$$\pi \leftarrow \text{LBFS}^{\uparrow}(G, \tau^+);$$

4. 
$$\pi^+ \leftarrow \text{LBFS}^+(G,\pi);$$

- 5. **if**  $\pi^+$  is an interval ordering of *G* **then return** "yes";
- 6. else return "no."

#### Graph searches deserve more attention



A line between x (above) and y (below) indicates that y extends x.

- Corneil and Krueger 2008
   Lexicographic depth first search (LDFS)
   Maximal neighborhood search (MNS)
- Tarjan and Yannakakis 1984 Maximal cardinality search (MCS)

# Thanks!

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# The algorithm of Hsu and Ma

Proposition. G is an interval graph if and only if (1) N(M) is a clique; and (2) both  $G' = G - (M \setminus v)$  and G[M] are interval graphs.

Find all the maximal modules, and the quotient graph, and work on them one by one. The benefit is that the clique path is unique.

They developed the first linear-algorithm for modular decomposition of chordal graphs. Any vertex  $\boldsymbol{v}$  and a module M

- $v \in M$ ; the trouble case.
- $M \subseteq N(v)$ ; or
- $M \cap N(v) = \emptyset$ .

It can be avoided if v is the vertex of the largest degree: It is only in trivial modules.

#### Proposition.

In a non-complete chordal graph, a maximum-degree vertex is only in clique modules.